## X IFM. Assessment 1

## Chapter 1,2

Q1.Which of these is not a part of Physical assets
a) Real estate b)shares. c). jewellery. (1)
Q2. The process by which physical shares are converted into electronic mode is called
a) Depository participant b) rematerialisation c)dematerialisation d) stock marketing
Q3.Which of the following is not under the power of SEBI? (1)
a) Registering and regulating the working of stock brokers, sub brokers etc.
(b) Prohibiting fraudulent and unfair trade practices.
(c) Takes guarantee for the investors' funds. (1)
(d) Calling for information from the persons associated with the securities market.
Q4.What factors determine interest rates? (2)
Q5. What is an index? (2)
Q6. Who are the participants in the securities market? (2)
Q7. What is SEBI and what is its role ? (3)
Q8. What are the various short term financial options available for investment? (3)