

FINANCIAL MARKETS MANAGEMENT

XII

ASSIGNMENT

Q1 In order to provide efficiency, liquidity & transparency, NSE introduced a nation-wide on-line fully automated _____.

- a) Screen based trading system.
- b) State based ticketing system.
- c) Small based ticketing system.
- d) Screen based fast system.

Q2 Neat system supports an order driven market, wherein orders match on the basis of _____ & _____ priority.

- a) Size, bid.
- b) Time, price.
- c) Quality, quantity.
- d) Bid, lot size.

Q3 Which of the following statement (s) are true?

- i) During the open phase order entry is allowed.
 - ii) During this phase, orders are matched on a continuous basis.
 - iii) Trading in all the instruments is allowed unless they are specifically prohibited by the exchange.
 - iv) The activities that are allowed at this stage are inquiry, order entry, order modification, order cancellation & order matching.
- a) Only (i)
 - b) Only (ii)
 - c) Only (i), (ii) & (iii)
 - d) All of the above

Q4 Surveillance & control (SURCON) is that period after market _____ during which, the user have _____ only.

- a) Open, inquiry access.
- b) Close, inquiry access.
- c) Open, order access.
- d) Close, order modification access.

Q5 When a user logs in for the first time, he has to enter the default password _____ provided by the exchange.

- a) NEATID.
- b) NEATCD.
- c) NEATCM.
- d) NEATPASSWORD.

Q6 Why SBTS was introduced & what was its impact?

Q7 Explain the various market types.

Q8 Explain corporate hierarchy in terms of trading system.

Q9 What are the different market phases?