

BCM SCHOOL, BASANT AVENUE, DUGRI ROAD, LUDHIANA

FMM

XII

ASSIGNMENT

1. (i) In which market segment are warrants traded ?

- (a) Futures and Options (F&O) (b) Currency Derivatives Segment (CDS)
(c) Wholesale Debt Market (WDM) Segment (d) Capital Market Segment

(ii) Within how many months from the date of origin of the dispute

should the reference for arbitration be filed ?

- (a) 3 months (b) 6 months (c) 9 months (d) 12 months

(iii) Which of the following is a consequence of suspension ?

- (a) Vacating office (b) Trading members not to deal with expelled trading member
(c) Dishonoured cheque (d) Suspension of membership rights

(iv) The collected margin is kept separately in whose account ?

- (a) Client Bank Account (b) Agent Bank Account (c) SEBI Account (d) RBI Account

(v) _____ is issued to a client within 24 hours and should be signed by the trading member or by an authorized signatory of the trading member.

- (a) Client note (b) Contract note (c) Bank note (d) Promissory note

(vi) A copy of the advertisement should be submitted to the Exchange at least how many days before its issue ?

(vii) What is the full form of IFSD ?

(viii) Eligible limit orders are matched with _____. (a) Seller order (b) Market order (c) Eligible order (d) Client order

(ix) Write any one feature of Snap Quote .

(x) Write the purpose of the Order Status (OS) .

(xi) What information does market movement screen provide to the user ?

- (a) Movement of security on a current day (b) Movement of security on a previous day
(c) Movement of security two days earlier (d) Movement of security three days earlier

(xii) set up market watch ?

(a) Current session (b) Open session (c) Closed session (d) Market watch session

2. What is Brokerage ? Explain with an example.

3. When was buy-back of trade permitted in the secondary market ? Write its purpose.

4. What is counter party risk ? Explain its components.

5. Briefly explain the following : (a) Investor Service Cell (b) Arbitration

6. Explain opening and pre-opening session of market phases.

7. Explain the core processes involved in settlement process.

8. Distinguish between Forward and Futures Contract.

9. How is Equity Index Futures priced ? Explain.